

EIGENCURVES IN THE WORLD OF MODULAR FORMS

Agenda:

- 1) Define the p -adic Hecke algebra
- 2) Construct "weight space"
- 3) Give an e.g. of a family of systems of Hecke eigenvalues parametrized by weight.
- 4) Define the Hida family & the eigencurve (Coleman & Mazur)
- 5) Give idea of proofs of Hida's & C&M's theorem.

§1. p -adic Hecke algebra

Fix $N \geq 1$ Fix $p \nmid N$

$M_k(N) \rightarrow$ wt k , level N for $\Gamma_1(N)$

If $l \nmid N$, define S_l to be $\langle l \rangle l^{k-2}$
for l prime

- $H_k = \mathbb{Z}$ -subalgebra of $\text{End}(M_k(N))$ generated by lS_l & T_l as l ranges over primes not $\hookrightarrow \Gamma_1(N) \begin{pmatrix} 1 & 0 \\ 0 & l \end{pmatrix} \Gamma_1(N)$

$$\Gamma_0(N) / \Gamma_1(N) \xrightarrow{\sim} (\mathbb{Z}/N\mathbb{Z})^\times$$

$$\alpha \longrightarrow l$$

$$\langle l \rangle = \Gamma_1(N) \alpha \Gamma_1(N)$$

- $\lambda: H_k \xrightarrow{\text{rg hom}} \mathbb{C}$ is called a "system of Hecke eigenvalues".
 $\searrow \nearrow$
 \mathbb{Z}

We think of λ as taking values in $\bar{\mathbb{Z}}_p$

- $H_k^{(p)}$ is the subalgebra of H_k generated by lS_l & T_l for l not dividing Np .
 \nwarrow prime, fixed
 \nearrow fixed, level

Define S_l & T_l on $\bigoplus_{i=1}^m M_i(N)$ in the obvious way.
 S_l acts on $M_i(N)$ via $\langle l \rangle l^{i-2}$.

- Let $H_{\leq k}^{(p)} := \mathbb{Z}$ -subalgebra of endomorphisms of $\bigoplus_{i=1}^k M_i(N)$ generated by lS_l & T_l for $l \nmid Np$.
 $lS_l \searrow \swarrow$
 $(lS_l)_i \quad \prod_{i=1}^k H_i \subset \prod \text{End}(M_i(N))$

$$\text{If } k' \geq k, \text{ then } \bigoplus_{i=p}^k \dots \subset \bigoplus_{i=0}^{k'} \dots$$

$$\Rightarrow H_{\leq k'}^{(p)} \xrightarrow{\text{restriction}} H_{\leq k}^{(p)}$$

$$lS_l \mapsto lS_l$$

$$T_l \mapsto T_l$$

$$\Rightarrow \begin{matrix} \mathbb{Z}_p \otimes H_{\leq k'}^{(p)} \\ \uparrow \text{inverts } l \\ S_l \end{matrix} \rightarrow \mathbb{Z}_p \otimes H_{\leq k}^{(p)}$$

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The p-adic Hecke algebra H is $:= \varprojlim \mathbb{Z}_p \otimes_{\mathbb{Z}} H_{\leq k}^{(p)}$

\exists a well defined S_l & $T_l \in H$ $\hookrightarrow \prod \mathbb{Z}_p \otimes_{\mathbb{Z}} H_k$

Note:

$$H \hookrightarrow \prod_{k \geq 1} \mathbb{Z}_p \otimes_{\mathbb{Z}} H_k \longrightarrow \mathbb{Z}_p \otimes_{\mathbb{Z}} H_k$$

(Fact: H is t.f., p -adically complete, Noeth \mathbb{Z}_p -algebra & it is a product of finitely many complete Noeth local \mathbb{Z}_p algebras)

- A p -adic system of Hecke eigenvalues is a \mathbb{Z}_p -hom $\xi: H \rightarrow \bar{\mathbb{Z}}_p$

Consider $\lambda^{(p)}: H_k^{(p)} \hookrightarrow H_k \xrightarrow{\lambda} \bar{\mathbb{Z}}_p$

Then ξ of the form $\lambda^{(p)} \circ (H \rightarrow \mathbb{Z}_p \otimes H_k^{(p)})$ is called classical

§2. Weight Space

\exists a canonical map $\text{Spec } H \rightarrow \underbrace{\text{Spec } \mathbb{Z}_p[[T]]}_{\text{This will be our weight space}}$

Let $a := \begin{cases} p & \text{if } p \text{ is odd} \\ p^2 & \text{if } p \text{ is even} \end{cases}$

$$\mathbb{Z}_p^\times \cong \mu_{p-1} \times \Gamma \text{ for } p \text{ odd}$$

$$\mathbb{Z}_p^\times \cong \mu_2 \times \Gamma \text{ for } p \text{ even}$$

let $\Gamma := 1 + a\mathbb{Z}_p$ ($U^{(1)}$ if p is odd)

let $\mathbb{Z}_p[[\Gamma]] := \varprojlim_n \mathbb{Z}_p[\Gamma/\Gamma^{p^n}]$, the completed gp rg of Γ over \mathbb{Z}_p
 $\downarrow \log$
 $p\mathbb{Z}_p/p^{n+1}\mathbb{Z}_p$

We have $\mathbb{Z}_p[\Gamma] \hookrightarrow \mathbb{Z}_p[[\Gamma]]$

Denote with $[x]$ the elt in $\mathbb{Z}_p[[\Gamma]]$ corresponding to $x \in \Gamma$

$$\begin{aligned} \mathbb{Z}_p[[\tau]] &\xrightarrow{\sim} \mathbb{Z}_p[[\Gamma]] \\ \tau &\longmapsto -1[1] \\ &\quad + 1[1+q] \end{aligned}$$

Want to construct $\mathbb{Z}_p[[\Gamma]] \rightarrow H$

Suffices to construct a ^{continuous} gp hom $\Gamma \rightarrow H^*$
 $l \mapsto S_l \rightarrow l^{k-2} \langle l \rangle$

Consider the following set (dense in Γ): by Dirichlet's theorem on primes in arithmetic progression

$$\mathcal{L} := \{l \text{ prime} \mid l \equiv 1 \pmod{Nq}\}$$

$\uparrow p \text{ when } p \text{ is odd}$

Lemma: The map $\mathcal{L} \rightarrow H$ given by $l \mapsto S_l$, extends uniquely to a continuous gp hom $\Gamma \rightarrow H^*$

$$\text{Pf: } \mathcal{L} \rightarrow \varprojlim_{\leftarrow} \mathbb{Z}_p \otimes_{\mathbb{Z}} H_{\leq k}^{(p)} \hookrightarrow \prod_k \mathbb{Z}_p \otimes H_k^{(p)}$$

$$l \mapsto S_l \mapsto (S_l)_k = (l^{k-2})_k$$

$\uparrow l \equiv 1 \pmod{Nq}$
 $\langle l \rangle$ is trivial

$$H_k = \mathbb{Z} \langle l S_l, T_l \rangle_{\substack{l \text{ prime} \\ \text{not dividing} \\ N}}$$

$p \nmid N$

$\Gamma_1(N)$

This extends to a cont hom on Γ

$$x \longmapsto (x^{k-2})_k$$

As H is a complete subspace & \mathcal{L} is dense, the image of Γ lands in H

We obtain :

$$\text{Spec } H \xrightarrow{w^*} \text{Spec } \mathbb{Z}_p[[\Gamma]] \xrightarrow{\sim} \text{Spec } \mathbb{Z}_p[[T]] \xleftarrow{-1 + [1 + \alpha]} T$$

$$\overline{\mathbb{Z}}_p \text{ points of } \mathbb{Z}_p[[\Gamma]] \longleftrightarrow \text{cont. characters } \kappa : \Gamma \rightarrow \overline{\mathbb{Z}}_p^\times$$

$$\begin{array}{ccc} \text{Spec } \mathbb{Z}_p[[T]] & (\overline{\mathbb{Z}_p}) & \xrightarrow{\sim} \text{Spec } \mathbb{Z}_p[[T]] & (\overline{\mathbb{Z}_p}) \\ k & \xrightarrow{\quad} & k(1+q) = 1 \end{array}$$

$$\text{Spec } \bar{\mathbb{Z}}_p \longrightarrow \text{Spec } \mathbb{Z}_p[[T]] \quad \mathbb{Z}_p[[T]]$$

Define $\underbrace{\kappa_k}_{\uparrow}$: $\Gamma \rightarrow \overline{\mathbb{Z}}_p^x$ via $x \mapsto x^{k-2}$

$$\mathbb{Z}_p[[T]] \xleftarrow{1+p\mathbb{Z}_p} \varprojlim_n \mathbb{Z}_p[T/p^n T]$$

$\{K_k\}$ are Zariski dense in $\text{Spec } \mathbb{Z}_p[[\Gamma]]$

We call k_k the pt of wt k

We will regard $\text{Spec } \mathbb{Z}_p[[\Gamma]]$ as an interpolation of the set of integers

Note: $\mathcal{H} = \varprojlim_n H_{\leq k}^{(p)} \otimes \mathbb{Z}_p$

$\xi: \mathcal{H} \rightarrow \overline{\mathbb{Z}_p}$ is classical arising from $\lambda: H_k \rightarrow \overline{\mathbb{Z}_p}$,
then ξ

then

$$\mathbb{Z}_p[[\Gamma]] \xrightarrow{w} H \xrightarrow{\quad} H^{(p)}_{\mathbb{K}} \otimes \mathbb{Z}_p \xrightarrow{\lambda \otimes \text{id}} \bar{\mathbb{Z}}_p$$

$l \mapsto S_l$
 $2 \mapsto$
 $(l^{k-2})_{\mathbb{K}} \mapsto$

$H \xrightarrow{\quad} H^{(p)}_{\mathbb{K}} \otimes \mathbb{Z}_p \xrightarrow{\lambda \otimes \text{id}} \bar{\mathbb{Z}}_p$
 $\pi H_{\mathbb{K}} \otimes \mathbb{Z}_p \rightarrow H_{\mathbb{K}} \otimes \mathbb{Z}_p$
 $\uparrow \lambda$
 $\bar{\mathbb{Z}}_p$

$$\kappa_k = \zeta \circ w$$

Think of w mapping a system of Hecke eigenvalues to its corresponding weight.

As $\xi \circ w$ is Γ_k , it forces w to be injective

$$\begin{array}{ccc} & \uparrow & \uparrow \\ \mathbb{H} & \xrightarrow{\text{classical}} \overline{\mathbb{Z}_p} & \mathbb{Z}[[\Gamma]] \rightarrow \mathbb{H} \end{array}$$

$$\left(\begin{array}{ccc} \mathbb{Z}_p[[\Gamma]] & \xrightarrow{w} & \mathbb{H} \rightarrow \overline{\mathbb{Z}_p} \\ T & \longmapsto & -1 + (1+q)^{k-2} \end{array} \right)$$

$k \gg 0$ will ensure nothing nonzero dies)

As w is injective, $\text{Spec } \mathbb{H} \rightarrow \text{Spec } \mathbb{Z}[[\Gamma]]$ is sch. theoretically dominant & \therefore set theoretically.

We can ask if \exists families of systems of Hecke eigenvalues (& \therefore of Galois representations) parametrized by weight.

$$\Gamma_0(N) / \Gamma_1(N) \xrightarrow{\sim} (\mathbb{Z}/N\mathbb{Z})^\times$$

$$\begin{pmatrix} 1 & b \\ 0 & l \end{pmatrix} \xrightarrow{\alpha} l$$

$al \equiv 1 \pmod{N}$

$$\underbrace{\langle l \rangle}_{\Gamma_1(N) \propto \Gamma_1(N)} \quad \langle l \rangle, \quad \underbrace{l^{k-2} \langle l \rangle}$$

$$\lambda(S^l) = \epsilon(l) l^{k-2}$$

$$\log_l 1 \quad 1 = k-2$$

Can we find

$$\mathbb{Z} \xrightarrow{\text{closed}} \text{Spec } H \quad \text{s.t.}$$

- 1) $\mathbb{Z} \hookrightarrow \text{Spec } H \xrightarrow{w} \text{Spec } \mathbb{Z}_p[[T]]$ is dominant with finite fibers
- 2) \mathbb{Z} contains a Zariski dense set of points corresponding to classical systems of Hecke eigenvalues

§ 3. E.g. of such a family : the Eisenstein family

For simplicity $N=1$ & fix an even residue class $i \bmod p-1$ if p is odd.

(Recall : If $k \geq 4$, even, $E_k \in M_k(1)$ is a Hecke eigenform)

Consider $\lambda_k^{(p)} \xrightarrow{\text{associated to } E_k} \lambda_k \text{ restricted to } H_k^{(p)}$
associated to Eisenstein series E_k
for $k \geq 4$ & $\begin{cases} k \equiv i \bmod p-1 & \text{if } p \text{ is odd} \\ k \text{ even} & \text{if } p=2 \end{cases}$

$$\lambda_k^{(p)}(lS_e) = l^{k-1}$$

$$\lambda_k^{(p)}(T_e) = 1 + l^{k-1}$$

$$\mathbb{Z}_p^\times = \mu \times \Gamma$$

$$\begin{pmatrix} \mu = \mu_{p-1} & \text{if } p \text{ is odd} \\ \mu = \mu_2 & \text{if } p \text{ is even} \end{pmatrix}$$

$$\text{Let } \varphi: \mathbb{Z}_p^\times \longrightarrow \mu$$

$$\lambda_k^{(p)}(lS_e) = l \varphi(l)^{i-2} (l \varphi(l)^{-1})^{k-2}$$

$$\lambda_k^{(p)}(T_e) = 1 + l \varphi(l)^{i-2} (l \varphi(l)^{-1})^{k-2}$$

where we set $i=0$ if $p=2$

$$\mathbb{H} \xrightarrow{E} \mathbb{Z}_p[[\Gamma]]$$

$$S_e \mapsto \varphi(l)^{i-2} [l \varphi(l)^{-1}]$$

$$T_e \mapsto 1 + l \varphi(l)^{i-2} [l \varphi(l)^{-1}]$$

By construction

$$\begin{array}{c} \kappa_k \circ E \\ \uparrow \\ x \mapsto x^{k-2} \\ \Gamma \rightarrow \bar{\mathbb{Z}}_p^\times \end{array} = \lambda_k^{(p)}$$

for any $k \equiv i \pmod{p-1}$
(or even k if $p=2$)

$$\begin{array}{ccc}
\mathbb{Z}_p[[\Gamma]] & \xrightarrow{w} & \mathbb{H} \xrightarrow{E} \mathbb{Z}_p[[\Gamma]] \\
[l] & \mapsto & S_l \mapsto \varphi(l)^{i-2} [l \varphi(l)^{-1}] \\
l \in \mathbb{Z} & & \stackrel{=}{\uparrow} [l] \\
l \equiv 1 \pmod{N\alpha} & & \varphi(l) = 1 \\
& & \text{as } l \equiv 1 \pmod{\alpha}
\end{array}$$

$\therefore E \circ w = \text{id on } \mathbb{Z}_p[[\Gamma]] \Rightarrow$
we have a section of the weight map, which is a separated

$\therefore E$ gives a closed immersion
 $\text{Spec } \mathbb{Z}_p[[\Gamma]] \rightarrow \text{Spec } \mathbb{H}$

$$\begin{array}{ccc}
\text{Spec } \mathbb{Z}_p[[\Gamma]] (\bar{\mathbb{Z}}_p) & \longrightarrow & \text{Spec } \mathbb{H} (\bar{\mathbb{Z}}_p) \\
\kappa & \mapsto & \kappa \circ E \\
\kappa_k & \mapsto & \kappa_k^{(p)}
\end{array}$$

Suppose we included information on T_p & pS_p in κ_k

We would want that if κ_k & $\kappa_{k'}$ are "close p -adically",
then κ_k & $\kappa_{k'}$ should also be close p -adically

\nearrow

Say $k' > k$, $k' - k = p^m u$

$$\begin{array}{ccc}
pS_p & \xrightarrow{\kappa_k} & p^{k-1} \\
& \searrow \kappa_{k'} & \downarrow \\
& & p^{k'-1} \rightarrow \text{diff} = p^{k-1} \underbrace{(1 - p^{k'-k})}_{\text{unit}}
\end{array}$$

$(\text{Spec } \mathbb{H})(R)$

$\text{Hom}_{\text{rg}}^{\text{topological}}(\mathbb{H}, R)$

\nearrow
endow with the
weakest topology
s.t. eval is
continuous for all
 $a \in \mathbb{H}$

§4. Hida family & the eigencurve.

We observe that $\lambda_k(T_p)$ & $p\lambda_k(S_p)$ do not interpolate well.

If we consider the p^{th} Hecke polynomial

$X^2 - \lambda_k(T_p)X + p\lambda_k(S_p)$, in the preceding e.g., it has the form $X^2 - (1 + p^{k-1})X + p^{k-1}$

$$= (X-1)(X-p^{k-1})$$

\uparrow No problem \uparrow Problem.

So we consider points in $\text{Spec } H \times_{\mathbb{Z}_p} \mathbb{G}_m$, $\mathbb{Z}_p[T, T^{-1}]$

Let \mathcal{X} denote $\overline{\mathbb{Q}_p}$ valued pts of $\text{Spec } H \times \mathbb{G}_m$ consisting of pairs (ξ, α) where $\xi: H \rightarrow \overline{\mathbb{Z}_p}$ is classical coming from some $\lambda: H_k \rightarrow \overline{\mathbb{Z}_p}$ & α is a root of p^{th} Hecke polynomial of λ

$$\mathcal{X}^{\text{ord}} := \{ (\xi, \alpha) \in \mathcal{X} \mid \alpha \in \overline{\mathbb{Z}_p}^\times \} = \overline{\mathbb{Z}_p} \text{ points in } \mathcal{X}$$

Last time :

N, p are fixed. $l \neq p$, and a prime.

1) Defined p -adic Hecke algebra $= \varprojlim H_{\leq k}^{(p)} \otimes \mathbb{Z}_p$

2) Constructed a "weight space" $\text{Spec } H \xrightarrow{w} \text{Spec } \mathbb{Z}_p[[T]]$

3) Considered an e.g. of a family of systems of Hecke eigenvalues parametrized by weight

(in other words, $\mathbb{Z} \hookrightarrow \text{Spec } H \xrightarrow{w} \text{Spec } \mathbb{Z}_p[[T]]$
 $\begin{matrix} \text{Zariski} \\ \text{dense} \\ \text{Set of pts} \\ \text{corr. to classical} \\ \text{systems.} \end{matrix} \quad \text{dominant w/ finite fibres}$)

Now : Hida family & the eigencurve

We starting considering points in $\text{Spec } H \times_{\mathbb{Z}_p} G_m$

& defined $X := \{(\xi, \alpha) \in \text{Spec } H \times_{\mathbb{Z}_p} G_m(\bar{\mathbb{Q}}_p) \mid \xi :$

$H \rightarrow \bar{\mathbb{Z}}_p$ is classing coming from

some $\lambda : H_k \rightarrow \bar{\mathbb{Z}}_p$ & α is a root

of $\underbrace{p^{\text{th}} \text{ Hecke polynomial of } \lambda}$

$$X^2 - \lambda(T_p)X + p\lambda(S_p)$$

$\uparrow p^{k-2}\mathbb{Z}_p$

We defined $\chi^{\text{ord}} := \{ (\xi, \alpha) \in \chi \mid \alpha \in \overline{\mathbb{Z}_p}^\times \} =$
 $\overline{\mathbb{Z}_p}$ points in χ

Thm (Hida) : Zariski closure C^{ord} of χ^{ord}
in $\text{Spec } H \times G_m$ is 1-dim.

$C^{\text{ord}} \hookrightarrow \text{Spec } H \times G_m \xrightarrow{\text{pr}} \text{Spec } H \xrightarrow{w} \text{Spec } \mathbb{Z}_p[[\Gamma]]$
is finite. It is étale in the nbhd of those
pts of χ^{ord} coming from systems of
eigenvalues appearing in wt $k \geq 2$

If we try to interpolate χ , taking alg
Zariski closure is "too coarse". Instead,
we construct a rigid analytic family
lying inside the ass. rigid analytic space
of $(\text{Spec } H \times G_m)^{\text{an}}$.

Thm (Coleman & Mazur) : The rig. an. Zariski closure C of X in $(\text{Spec } H \times G_m)^{\text{an}}$ is 1-dim

The composite

$$C \hookrightarrow (\text{Spec } H \times G_m)^{\text{an}} \longrightarrow (\text{Spec } H)^{\text{an}} \longrightarrow (\text{Spec } (\mathbb{Z}_p[[T]])^{\text{an}})$$

is flat & has discrete fibers

For any $c > 0$, \exists only finitely many pts (ξ, α) in any given fiber with $\text{ord}_p(\alpha) \leq c$.

The curve C is called the eigencurve of tame level N . $(C^{\text{ord}})^{\text{an}}$ is called the "slope 0 part" or "the ordinary part"

§5. Very very rough idea of proofs:

Step 1: Space on which H acts

- (i) "generalized p -adic modular functions"
- (ii) surrogate of (i) constructed from gp cohomology of $\Gamma_1(N)$
- (iii) p -adically completed cohomology of modular curves.

Step 2: (For (i) & (ii))

Introduce U_p operator on the space

Recall: On q -expansions, $f = \sum a_n q^n$
 $U_p f = \sum a_{np} q^n$

Let $H^* :=$ quotient of $H[U_p]$ that
acts faithfully on our space

$$\begin{array}{ccc} H \times \mathbb{Z}_p[x] & \longrightarrow & \text{End}(\text{space}) \\ \vdots & \quad \quad \quad \vdots & \quad \quad \quad \vdots \\ \hline & X & \longmapsto U_p \end{array}$$

$$\text{Spec } H^* \hookrightarrow \text{Spec } H \times \mathbb{A}^1$$

If f is a modular form of wt k & level N , $p \nmid N$,
 & if α & β are roots of $(X^2 - \lambda(T_p)X + p\lambda(S_p))$

Then $f(\tau) - \beta f(p\tau)$ turns out to be a U_p
 eigenform of level Np , with U_p eigenvalue
 α .

$\therefore (\xi, \alpha)$ defines a rg hom $H^* \rightarrow \bar{\mathbb{Q}}_p$

$$(\xi, \alpha): \quad \begin{array}{ccccc} \text{Spec } \bar{\mathbb{Q}}_p & \longrightarrow & \text{Spec } H \times G_m & \longrightarrow & \text{Spec } H \times \mathbb{A}^1 \\ & \searrow & & \nearrow & \\ & \text{Spec } H^* & & & \end{array}$$

$$\bar{\chi} \subset \text{Spec } H^*$$

But $\text{Spec } H^*$ is too big

If f is an eigenform for H^* whose

U_p -eigenvalue α is of +ve slope

$$\text{then } U_p^n f = \alpha^n f \rightarrow 0$$

We can "cut out the ordinary part".

Quotient of H^* acting faithfully on the
 ordinary part is the coordinate rg of $C^{\text{ord}} = \bar{\chi}^{\text{ord}}$

For Coleman & Mazur's curve, the issue is :

Suppose α has +ve slope, then

$$\begin{array}{ccccccc} H & \longrightarrow & H[U_p] & \twoheadrightarrow & H^* & \longrightarrow & \bar{\mathbb{Z}}_p \\ & & U_p & \longmapsto & \cdot & \longmapsto & \alpha \in \text{max ideal} \end{array}$$

$\text{Im}(U_p) \text{ in } H^* \in \text{maximal ideal } \underline{m^*}$

Say m^* lies over m in H

$$\underbrace{\overline{H^*_{m^*}}}_{\text{completions}} \cong \underbrace{\overline{H_m[[U_p]]}}_{\text{completions}}$$

\therefore if $H_m \rightarrow \bar{\mathbb{Z}}_p$ is any system of eigenvalues, can be extended arbitrarily to $H^*_{m^*}$, by assigning a positive slope value to U_p

For non ordinary stuff, no way of algebraically distinguishing positive slope roots of p^{th} Hecke polynomial from any other +ve slope elts of $\bar{\mathbb{Z}}_p$

By passing to "some analytic setting",
we try to get U_p to be a compact operator w/
reasonable spectral theory & analyze its eigenspaces
to prove the theorem.

In the interpolation paper

- Not exactly a direct action of U_p .
Instead $\wedge^{all} GL_2(\mathbb{Q}_p)$ acts
- Introduction of U_p & passage to
its eigenspace is effected by
applying the Jacquet module
functor.